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|   |   |    |   | Application Number       | 09/807,802          |
|   |   |    |   | Filing Date              | April 17, 2001      |
|   |   |    |   | First Named Inventor     | James M. Wilson     |
|   |   |    |   | Group Art Unit           | 1635                |
|   |   |    |   | Examiner Name            | Brian A. Whiteman   |
| Sheet   | 1 | of | 2 | Attorney Docket Number   | GNV31AUSA/UPN-K1774 |

| U.S. PATENT DOCUMENTS |                       |  |                                |   |  |
|-----------------------|-----------------------|--|--------------------------------|---|--|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures<br><i>class appear schedule</i> |
|                       |                       | Number-Kind Code <sup>2</sup> (if known) |                                |   |  |
| AV                    | CA                    | US-6,387,368 B1                          | 05-14-2002                     | Wilson et al                                    | 424 93.2   |
|                       | CB                    | <del>US-6,274,354 B1</del>               | <del>08-14-2001</del>          | <del>Wilson et al</del>                         |  |
| PW                    | CC                    | US-6,482,634 B1                          | 11-19-2002                     | Wilson et al                                    | 435 235.1  |
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| PW                    | CF                    | US-6,399,385 B1                          | 06-04-2002                     | Croyle et al                                    | 435 456  |

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| FOREIGN PATENT DOCUMENTS |                       |  |                                |   |   |                |
|--------------------------|-----------------------|--|--------------------------------|---|---|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document  | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> - Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |   |   |                |
| PW                       | CG                    | PCT WO03/093440 A2   | 11-13-2003                     | University of Florida                           |   |                |
| PL                       | CH                    | PCT WO03/092598 A2   | 11-13-2003                     | University of Florida                           |   |                |
|                          |                       |  |                                |   |   |                |

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|--------------------|--------------------------|-----------------|--------|
| Examiner Signature | <i>Brian A. Whiteman</i> | Date Considered | 2/5/04 |
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| OTHER PRIOR ART-NONPATENT LITERATURE DOCUMENTS |                       |  |                |
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| Examiner Initials*                             | Cite No. <sup>1</sup> | Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item, (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), column(s), publisher, city and/or country where published | T <sup>2</sup> |
| PE   | CI                    | HAUCK ET AL, Generation and Characterization of Chimeric Recombinant AAV Vectors, Molecular Therapy, Vol. 7, No. 3, pp. 419-425, (March 2003)  |                |
|  | CJ                    | RABINOWITZ ET AL, Cross-Packaging of a Single Adeno-Associated Virus (AAV) Type 2 Vector Genome into Multiple AAV Serotypes Enables Transduction with Broad Specificity, Journal of Virology, Vol. 76, No. 2, pp. 791-801, (January 2002)              |                |
|  | CK                    | HAUCK ET AL, Characterization of Tissue Tropism Determinants of Adeno-Associated Virus Type 1, Journal of Virology, Vol. 77, No. 4, pp. 2768-2774, (February 2003)   |                |
| BW   | CL                    | ARRUDA ET AL, Safety and Efficacy of Factor IX Gene Transfer to Skeletal Muscle in Murine and Canine Hemophilia B Models by Adeno-Associated Viral Vector Serotype 1, Blood First Edition Paper, (September 11, 2003)                                  |                |
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